



Utah Division of Real Estate

Residential Mortgage Lender INDIVIDUAL REGISTRATION APPLICATION

Utah Division of
Real Estate
SM Box
146711
Salt Lake City,
UT 84114-6711

(Please type or print clearly)

NAME OF

INDIVIDUAL _____ DATE _____

BUSINESS NAME: YOUR DBA, OR BUSINESS NAME(S) OF ALL MORTGAGE ENTITY(S) YOU REPRESENT AS
EMPLOYEE OR AGENT 1) _____ 2) _____

BUSINESS ADDRESS(mailing & physical) _____

CITY _____ STATE _____ ZIP _____

BUSINESS

PHONE _____ FAX _____

HOME ADDRESS (mailing & physical) _____

CITY _____ STATE _____ ZIP _____

HOME PHONE _____ DATE OF BIRTH _____

SOCIAL SECURITY NUMBER _____ E-MAIL _____

An original surety bond shall be filed with the division that names as beneficiaries the state and any person who has a claim against the surety on the bond based on any default or violation of any duty or obligation of the applicant.

Residential Mortgage Lender

INDIVIDUAL

****FILL OUT COMPLETELY****

9 application fee (non-refundable) of \$200 AND \$39 background check fee (can be one check)

9 2 fingerprint cards AND letter of waiver

9 Individual surety bond for not less than \$10,000 (original on file with Division)

OR

9 a copy of each bond for, or all bond information for, each Entity you have an affiliation with;
i.e. as its employee or agent

Employing Entity 1) _____

2) _____

Bond Number 1) _____

2) _____

Surety Company 1) _____

2) _____

*use back of form to list additional Entity affiliation or bond information

To qualify for registration, a person shall have good moral character and the competency to transact the business of residential mortgage loans in the state of Utah. Please answer the following questions and sign where indicated. If you answer 'yes' to any questions you must attach a detailed explanation and copies of all court paperwork.

YES NO

1. 9 9 During the past five years, have you been convicted of, plead guilty or nolo contendere to, or entered a plea in abeyance to, a felony or misdemeanor involving moral turpitude?

2. 9 9 During the past five years, have you ever had a license or registration suspended, revoked, surrendered, canceled, or denied in this state or another jurisdiction?

3. 9 9 Are you currently under investigation for, or charged with, a felony or a misdemeanor?

I hereby certify that my answers to questions 1 - 3 above are true and correct.

Date _____ Signature _____

ADDITIONAL ENTITY INFORMATION

LIST ALL ENTITIES (COMPANIES) FOR WHICH YOU ACT AS AN AGENT OR
EMPLOYEE IN CONDUCTING THE BUSINESS OF RESIDENTIAL MORTGAGE LOANS
IN THE STATE OF UTAH

Entity Name_____
Entity Address_____
Bond Information (original bond must be on file with Division):
Bond #_____
Surety Company_____

Entity Name_____
Entity Address_____
Bond Information (original bond must be on file with Division):
Bond #_____
Surety Company_____

Entity Name_____
Entity Address_____
Bond Information (original bond must be on file with Division):
Bond #_____
Surety Company_____

Entity Name_____
Entity Address_____
Bond Information (original bond must be on file with Division):
Bond #_____
Surety Company_____

** Attach an additional sheet if necessary**